# Alternative proofs of the asymmetric Lovász local lemma and Shearer's lemma

I. Giotis<sup>1</sup> L. Kirousis<sup>1</sup> J. Livieratos<sup>1</sup> K. Psaromiligkos<sup>2</sup> D. Thilikos<sup>1</sup>

 $^{1}$ Department of Mathematics, National and Kapodistrian University of Athens  $^{2}$ Department of Mathematics, University of Chicago

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#### Outline

- Introduction
  - Preliminaries
  - Lovász local lemma
  - Our Method
- 2 Asymmetric Lovász local lemma
  - M-Algorithm
  - Forests
  - Recurrence Relations
- Shearer's lemma
  - Kolipaka et al. Algorithm
  - Recurrence Relation
  - Gelfand's formula

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 $x_i \leftrightarrow X_i : \{0,1\}^6 \mapsto \{0,1\}$  $E_i \leftrightarrow C_j$  is unsatisfied.



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(0,0,1,0,0,0):  $\overline{E_3}$ ,  $\overline{E}_1$ ,  $\overline{E}_2$ ,  $\overline{E}_4$ 

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 $(0,0,1,{\color{red}0},{\color{blue}1},{\color{blue}0}):~{\color{red}E_4},{\color{blue}\overline{E}_1},{\color{blue}\overline{E}_2},{\color{blue}\overline{E}_3}$ 

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(0,0,1,0,1,1): satisfying assignment.

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(1)

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 $\left(4\right)$ 

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 $E_1 \sim E_2$ 



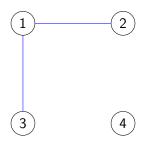
(3)

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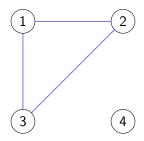
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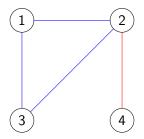
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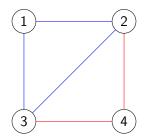
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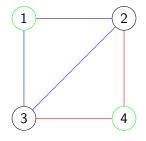
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 $\{1,4\}$ : independent set.

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# Theorem (Lovász Local Lemma (symmetric))

If 
$$ep(d+1) \leq 1$$
 then:

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- ▶ If  $d \leq \lfloor \frac{2^k}{e} 1 \rfloor$ , then  $\phi(x_1, \ldots, x_n)$  satisfiable.



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► Moser and Tardos (2009-10): algorithmic proof of the symmetric (simple or lopsided) LLL.

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For  $E_1, \ldots, E_m$  with dependency graph G and  $Pr[E_j] = p_j$ ,  $j = 1, \ldots, m$ :

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**<u>Aim:</u>** Prove that  $P_n$  is inverse exponential in n.

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Construct the witness forest  $\mathcal{F}$  of an execution of M-ALGORITHM:

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40 140 15 15 15 10 10

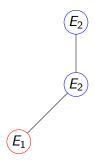


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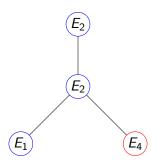
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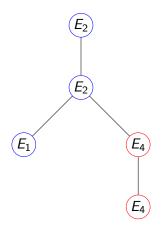


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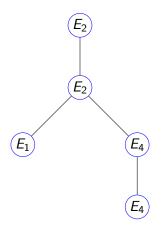
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 $T_1$ ,  $T_2$  trees with n nodes each and roots labeled by  $E_j$ :

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$$P_{n} \leq \sum_{\mathbf{n}} \sum_{\mathbf{n}^{1}+\cdots+\mathbf{n}^{m}=\mathbf{n}} \left( Q_{\mathbf{n}^{1},1} + R_{\mathbf{n}^{1},1} \right) \cdots \left( Q_{\mathbf{n}^{m},m} + R_{\mathbf{n}^{m},m} \right).$$

# Multivariate generating functions

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$$Q_{\mathbf{n},j} = Pr[E_j] \Big( Q_{\mathbf{n}-(1)_j,j} + R_{\mathbf{n}-(1)_j,j} \Big),$$

$$R_{\mathbf{n},j} = Pr[E_j] \sum_{\mathbf{n}^1 + \dots + \mathbf{n}^{k_j} = \mathbf{n} - (1)_{m+j}} \left( Q_{\mathbf{n}^1, j_1} + R_{\mathbf{n}^1, j_1} \right) \cdots \left( Q_{\mathbf{n}^{k_j}, j_{k_j}} + R_{\mathbf{n}^{k_j}, j_{k_j}} \right).$$

▶ Solve  $(Q_1(\mathbf{t}), \dots, Q_m(\mathbf{t}), R_1(\mathbf{t}), \dots, R_m(\mathbf{t}))$  by the multivariate Lagrange inversion formula [Bender and Richmond, 1998].

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$$G = (\{1, \dots, m\}, E)$$
 and  $\bar{p} = (p_1, \dots, p_m)$ .  
 $I(G) := \{\emptyset = I_0, I_1, \dots, I_s\}$  the set of independent sets of  $G$ .

$$q_I(G,\bar{p}):=\sum_{J\in I(G):I\subseteq J}(-1)^{|J\setminus I|}\prod_{j\in J}p_j.$$

For  $E_1, \ldots, E_m$  with dependency graph G and  $Pr[E_j] = p_j$ ,  $j = 1, \ldots, m$ :

$$q_I(G, \bar{p}) > 0 \implies Pr\left[\bigcap_{j=1}^m \overline{E}_j\right] > 0.$$

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If  $I_{i_1}, \ldots, I_{i_n}$  are the independent sets selected by GenResample, then  $I_{i_t}$  covers  $I_{i_{t+1}}$ .

#### **Proof Sketch**

- $E_j$  in  $I_{i_{t+1}}$  and not in  $N(I_{i_t})$ .
- $E_j$  did not occur at the beginning of t.
- $E_j$  does not depend on  $E_t \in I_{i_t}$ , thus it does not occur at the end of round t. **Contradiction**.

# Outline

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  - Preliminaries
  - Lovász local lemma
  - Our Method
- 2 Asymmetric Lovász local lemma
  - M-Algorithm
  - Forests
  - Recurrence Relations
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  - Kolipaka et al. Algorithm
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- ② Check each  $I_{i_t}$ . If there is a non-occurring  $E_j$  in  $I_{i_t}$ , fail. Else, resample  $vbl(I_{i_t})$ .

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▶ Show that  $Q_{n_i}$  are inverse exponential to n.

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where  $||\cdot||_1$  is the 1-norm.



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Thus:

$$||M^{n-1}||_1 < (p(M) + \epsilon)^{n-1}.$$

# Thank you!