

# Different tree approaches to the problem of counting numerical semigroups by genus

**Maria Bras-Amorós**

**GASCom 2018**

Athens, June 19, 2018

## Basic notions

Gaps, non-gaps, genus, Frobenius number, conductor, enumeration  
Generators

## Classical problems

Frobenius' coin exchange problem  
Hurwitz question  
Wilf's conjecture

## Counting

Conjecture  
Dyck paths and Catalan bounds  
Semigroup tree and Fibonacci bounds  
Ordinarization transform and ordinarization tree  
Quasi-ordinarization transform and quasi-ordinarization forest

## Basic notions

Gaps, non-gaps, genus, Frobenius number, conductor, enumeration  
Generators

## Classical problems

Frobenius' coin exchange problem  
Hurwitz question  
Wilf's conjecture

## Counting

Conjecture  
Dyck paths and Catalan bounds  
Semigroup tree and Fibonacci bounds  
Ordinarization transform and ordinarization tree  
Quasi-ordinarization transform and quasi-ordinarization forest

## Definition

A **numerical semigroup** is a subset  $\Lambda$  of  $\mathbb{N}_0$  satisfying

- ▶  $0 \in \Lambda$
- ▶  $\Lambda + \Lambda \subseteq \Lambda$
- ▶  $\#(\mathbb{N}_0 \setminus \Lambda)$  is finite (**genus** :=  $g$  :=  $\#(\mathbb{N}_0 \setminus \Lambda)$ )

## Definition

A **numerical semigroup** is a subset  $\Lambda$  of  $\mathbb{N}_0$  satisfying

- ▶  $0 \in \Lambda$
- ▶  $\Lambda + \Lambda \subseteq \Lambda$
- ▶  $\#(\mathbb{N}_0 \setminus \Lambda)$  is finite (**genus** :=  $g$  :=  $\#(\mathbb{N}_0 \setminus \Lambda)$ )

**gaps:**  $\mathbb{N}_0 \setminus \Lambda$



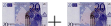






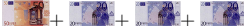
**non-gaps:**  $\Lambda$

# Cash point







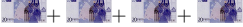


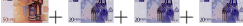
The amounts of money one can obtain from a cash point (divided by 10)



# Cash point

amount		amount/10
0		0
10	<i>impossible</i>	
20		2
30	<i>impossible</i>	
40		4
50		5
60		6
70		7
80		8
90		9
100		10
110		11
⋮	⋮	⋮

# Cash point

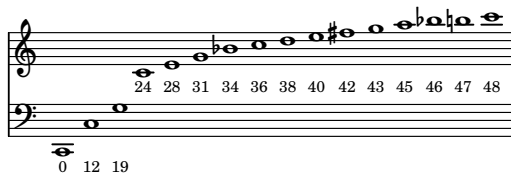
amount		amount/10
0		0
		gap
20		2
		gap
40		4
50		5
60		6
70		7
80		8
90		9
100		10
110		11
⋮	⋮	⋮



# The Well-tempered semigroup

The image displays musical notation for 'The Well-tempered semigroup'. It consists of two staves: a treble clef staff on top and a bass clef staff on the bottom. The treble staff contains a sequence of notes with the following fret numbers written below them: 24, 28, 31, 34, 36, 38, 40, 42, 43, 45, 46, 47, and 48. The notes are: G4 (24), A4 (28), B4 (31), C5 (34), D5 (36), E5 (38), F#5 (40), G5 (42), A5 (43), B5 (45), C6 (46), B5 (47), and A5 (48). The bass staff contains three notes with fret numbers 0, 12, and 19 written below them: C2 (0), C3 (12), and D3 (19). The notes in the bass staff are: C2 (0), C3 (12), and D3 (19).

# The Well-tempered semigroup



$$H = \{0, 12, 19, 24, 28, 31, 34, 36, 38, 40, 42, 43, 45, 46, 47, 48, \dots\}$$

# The Well-tempered semigroup

Musical notation showing two staves. The upper staff is in treble clef and contains notes with accidentals (sharps and flats) and a double bar line. The lower staff is in bass clef and contains notes. Fingering numbers are written below the notes: 0, 12, 19, 24, 28, 31, 34, 36, 38, 40, 42, 43, 45, 46, 47, 48.

$$H = \{0, 12, 19, 24, 28, 31, 34, 36, 38, 40, 42, 43, 45, 46, 47, 48, \dots\}$$



## Definition

A **numerical semigroup** is a subset  $\Lambda$  of  $\mathbb{N}_0$  satisfying

- ▶  $0 \in \Lambda$
- ▶  $\Lambda + \Lambda \subseteq \Lambda$
- ▶  $\#(\mathbb{N}_0 \setminus \Lambda)$  is finite (**genus** :=  $g$  :=  $\#(\mathbb{N}_0 \setminus \Lambda)$ )

**gaps**:  $\mathbb{N}_0 \setminus \Lambda$

**non-gaps**:  $\Lambda$

The third condition implies that there exist

## Definition

A **numerical semigroup** is a subset  $\Lambda$  of  $\mathbb{N}_0$  satisfying

- ▶  $0 \in \Lambda$
- ▶  $\Lambda + \Lambda \subseteq \Lambda$
- ▶  $\#(\mathbb{N}_0 \setminus \Lambda)$  is finite (**genus** :=  $g := \#(\mathbb{N}_0 \setminus \Lambda)$ )

**gaps**:  $\mathbb{N}_0 \setminus \Lambda$

**non-gaps**:  $\Lambda$

The third condition implies that there exist

**Frobenius number** := the largest gap  $F$

## Definition

A **numerical semigroup** is a subset  $\Lambda$  of  $\mathbb{N}_0$  satisfying

- ▶  $0 \in \Lambda$
- ▶  $\Lambda + \Lambda \subseteq \Lambda$
- ▶  $\#(\mathbb{N}_0 \setminus \Lambda)$  is finite (**genus** :=  $g$  :=  $\#(\mathbb{N}_0 \setminus \Lambda)$ )

**gaps**:  $\mathbb{N}_0 \setminus \Lambda$











**non-gaps**:  $\Lambda$

The third condition implies that there exist











**Frobenius number** := the largest gap  $F$

**conductor** := the unique integer  $c$  with  $c - 1 \notin \Lambda$ ,  $c + \mathbb{N}_0 \subseteq \Lambda$  ( $c = F + 1$ )

# Cash point

amount		amount/10
0		0
20		2
40		4
50		5
60		6
70		7
80		8
90		9
100		10
110		11
⋮	⋮	⋮

# Cash point

amount		amount/10
0		0
20		2
40		4
50		5
60		6
70		7
80		8
90		9
100		10
110		11
⋮	⋮	⋮



# The Well-tempered semigroup

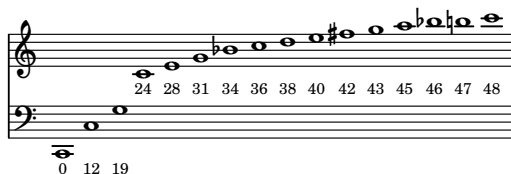
Musical notation showing a sequence of notes on a grand staff (treble and bass clefs). The notes are labeled with numbers: 0, 12, 19, 24, 28, 31, 34, 36, 38, 40, 42, 43, 45, 46, 47, 48. The notes are arranged in a sequence that spans two octaves, starting from a low C (0) and ending at a high C (48). The notes are: 0 (C2), 12 (C3), 19 (C4), 24 (C4), 28 (D4), 31 (D4), 34 (E4), 36 (E4), 38 (F4), 40 (F4), 42 (G4), 43 (G4), 45 (A4), 46 (A4), 47 (B4), 48 (C5).

$$H = \{0, 12, 19, 24, 28, 31, 34, 36, 38, 40, 42, 43, 45, 46, 47, 48, \dots\}$$



►  $g = 33$

# The Well-tempered semigroup



$$H = \{0, 12, 19, 24, 28, 31, 34, 36, 38, 40, 42, 43, 45, 46, 47, 48, \dots\}$$



▶  $g = 33$

▶  $c = 45$

# The Well-tempered semigroup

Musical notation showing a sequence of notes on a grand staff (treble and bass clefs). The notes are labeled with numbers: 0, 12, 19, 24, 28, 31, 34, 36, 38, 40, 42, 43, 45, 46, 47, 48. The notes are arranged in a sequence that spans two octaves, starting from a low C (0) and ending at a high C (48). The notes are: 0 (C2), 12 (C3), 19 (C3), 24 (C3), 28 (C3), 31 (C3), 34 (C3), 36 (C3), 38 (C3), 40 (C3), 42 (C3), 43 (C3), 45 (C3), 46 (C3), 47 (C3), 48 (C3).

$$H = \{0, 12, 19, 24, 28, 31, 34, 36, 38, 40, 42, 43, 45, 46, 47, 48, \dots\}$$



- ▶  $g = 33$
- ▶  $c = 45$
- ▶  $F = 44$











# Enumeration of a numerical semigroup

The inclusion  $\Lambda \subseteq \mathbb{N}_0$  implies that there exists

**enumeration** := the unique bijective increasing map  $\lambda : \mathbb{N}_0 \rightarrow \Lambda$

$$\Lambda = \{\lambda_0 = 0 < \lambda_1 < \lambda_2 \dots\}$$







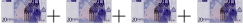


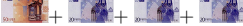
# Cash point

amount		amount/10	
0		0	$\lambda_0$
20		2	$\lambda_1$
40		4	$\lambda_2$
50		5	$\lambda_3$
60		6	$\lambda_4$
70		7	$\lambda_5$
80		8	$\lambda_6$
90		9	$\lambda_7$
100		10	$\lambda_8$
110		11	$\lambda_9$
⋮	⋮	⋮	⋮

# Generators

The **generators** of a numerical semigroup are those non-gaps which can not be obtained as a sum of two smaller non-gaps.

# Cash point

amount		amount/10
0		0
20		2
40		4
50		5
60		6
70		7
80		8
90		9
100		10
110		11
⋮	⋮	⋮

# Generators

The **generators** of a numerical semigroup are those non-gaps which can not be obtained as a sum of two smaller non-gaps.

If  $a_1, \dots, a_l$  are the generators of a semigroup  $\Lambda$  then

$$\Lambda = \{n_1 a_1 + \dots + n_l a_l : n_1, \dots, n_l \in \mathbb{N}_0\}$$



# Generators

The **generators** of a numerical semigroup are those non-gaps which can not be obtained as a sum of two smaller non-gaps.

If  $a_1, \dots, a_l$  are the generators of a semigroup  $\Lambda$  then

$$\Lambda = \{n_1 a_1 + \dots + n_l a_l : n_1, \dots, n_l \in \mathbb{N}_0\}$$

So,  $a_1, \dots, a_l$  are necessarily coprime.

# Generators

The **generators** of a numerical semigroup are those non-gaps which can not be obtained as a sum of two smaller non-gaps.

If  $a_1, \dots, a_l$  are the generators of a semigroup  $\Lambda$  then

$$\Lambda = \{n_1 a_1 + \dots + n_l a_l : n_1, \dots, n_l \in \mathbb{N}_0\}$$

So,  $a_1, \dots, a_l$  are necessarily coprime.

If  $a_1, \dots, a_l$  are coprime we define the **semigroup generated** by  $a_1, \dots, a_l$  as

$$\langle a_1, \dots, a_l \rangle := \{n_1 a_1 + \dots + n_l a_l : n_1, \dots, n_l \in \mathbb{N}_0\}.$$

## Basic notions

Gaps, non-gaps, genus, Frobenius number, conductor, enumeration  
Generators

## Classical problems

Frobenius' coin exchange problem  
Hurwitz question  
Wilf's conjecture

## Counting

Conjecture  
Dyck paths and Catalan bounds  
Semigroup tree and Fibonacci bounds  
Ordinarization transform and ordinarization tree  
Quasi-ordinarization transform and quasi-ordinarization forest

## Frobenius' problem

What is the largest monetary amount that can not be obtained using only coins of specified denominations  $a_1, \dots, a_n$ .

## Frobenius' problem

What is the largest monetary amount that can not be obtained using only coins of specified denominations  $a_1, \dots, a_n$ .

If  $a_1, \dots, a_n$  are coprime then the set of amounts that can be obtained is the semigroup  $\langle a_1, \dots, a_n \rangle$  and the question is determining the Frobenius number.

## Frobenius' problem

What is the largest monetary amount that can not be obtained using only coins of specified denominations  $a_1, \dots, a_n$ .

If  $a_1, \dots, a_n$  are coprime then the set of amounts that can be obtained is the semigroup  $\langle a_1, \dots, a_n \rangle$  and the question is determining the Frobenius number.

$n = 2$ : Sylvester's formula  $a_1 a_2 - a_1 - a_2$ .

## Frobenius' problem

What is the largest monetary amount that can not be obtained using only coins of specified denominations  $a_1, \dots, a_n$ .

If  $a_1, \dots, a_n$  are coprime then the set of amounts that can be obtained is the semigroup  $\langle a_1, \dots, a_n \rangle$  and the question is determining the Frobenius number.

$n = 2$ : Sylvester's formula  $a_1 a_2 - a_1 - a_2$ .

$n > 2$ ?

### Theorem (Curtis)

*There is no finite set of polynomials  $\{f_1, \dots, f_n\}$  such that for each choice of  $a_1, a_2, a_3 \in \mathbb{N}$ , there is some  $i$  such that the Frobenius number of  $a_1, a_2, a_3$  is  $f_i(a_1, a_2, a_3)$ .*

### Some references on Frobenius' coin exchange problem:

J. L. Ramírez Alfonsín. The Diophantine Frobenius problem, volume 30 of Oxford Lecture Series in Mathematics and its Applications. Oxford University Press, Oxford, 2005.

Frank Curtis. On formulas for the Frobenius number of a numerical semi- group. Math. Scand., 67(2):190–192, 1990.



## Hurwitz problems

- ▶ Determining whether there exist non-Weierstrass numerical semigroups, (Buchweitz gave a positive answer)
- ▶ Characterizing Weierstrass semigroups

### Some references:

Fernando Torres. On certain  $N$ -sheeted coverings of curves and numerical semigroups which cannot be realized as Weierstrass semigroups. *Comm. Algebra*, 23(11):4211–4228, 1995.

Seon Jeong Kim. Semigroups which are not Weierstrass semigroups. *Bull. Korean Math. Soc.*, 33(2):187–191, 1996.

Jiryo Komeda. Non-Weierstrass numerical semigroups. *Semigroup Forum*, 57(2):157–185, 1998.

N. Kaplan and L. Ye. The proportion of Weierstrass semigroups, *J. Algebra* 373:377–391, 2013.

## Wilf's conjecture

The number  $e$  of generators of a numerical semigroup of genus  $g$  and conductor  $c$  satisfies

$$e \geq \frac{c}{c-g}.$$

## Wilf's conjecture

The number  $e$  of generators of a numerical semigroup of genus  $g$  and conductor  $c$  satisfies

$$e \geq \frac{c}{c-g}.$$

**Example:** If  $c = 2g$  (symmetric semigroups) then  $\frac{c}{c-g} = \frac{2g}{g} = 2$ .

## Some references:

H. Wilf. A circle-of-lights algorithm for the money-changing problem, *American Mathematical Monthly* 85 (1978) 562–565.

D. E. Dobbs, G. L. Matthews. On a question of Wilf concerning numerical semigroups. *International Journal of Commutative Rings*, 3(2), 2003.

A. Zhai. An asymptotic result concerning a question of Wilf Alex Zhai, arXiv:1111.2779.

A. Sammartano. Numerical semigroups with large embedding dimension satisfy Wilf's conjecture, *Semigroup Forum* 85 (2012) 439–447.

N. Kaplan. Counting numerical semigroups by genus and some cases of a question of Wilf, *J. Pure Appl. Algebra* 216 (2012) 1016–1032.

A. Moscariello, A. Sammartano. On a conjecture by Wilf about the Frobenius number, *Math. Z.* 280 (2015) 47–53.

S. Eliahou. Wilf's conjecture and Macaulay's theorem. arXiv:1703.01761

M. Delgado, On a question of Eliahou and a conjecture of Wilf. arXiv:1608.01353

# Wilf conjecture

## For brute approach:

M. Bras-Amorós. Fibonacci-like behavior of the number of numerical semigroups of a given genus. *Semigroup Forum*, 76(2):379–384, 2008.

J. Fromentin, F. Hivert. Exploring the tree of numerical semigroups. *Mathematics of Computation* 85 (2016), no. 301, 2553–2568.

## Basic notions

Gaps, non-gaps, genus, Frobenius number, conductor, enumeration  
Generators

## Classical problems

Frobenius' coin exchange problem  
Hurwitz question  
Wilf's conjecture

## Counting

Conjecture  
Dyck paths and Catalan bounds  
Semigroup tree and Fibonacci bounds  
Ordinarization transform and ordinarization tree  
Quasi-ordinarization transform and quasi-ordinarization forest

# Counting semigroups by genus

Let  $n_g$  denote the number of numerical semigroups of genus  $g$ .

## Counting semigroups by genus

Let  $n_g$  denote the number of numerical semigroups of genus  $g$ .

- ▶  $n_0 = 1$ , since the unique numerical semigroup of genus 0 is  $\mathbb{N}_0$



# Counting semigroups by genus

Let  $n_g$  denote the number of numerical semigroups of genus  $g$ .

- ▶  $n_0 = 1$ , since the unique numerical semigroup of genus 0 is  $\mathbb{N}_0$
- ▶  $n_1 = 1$ , since the unique numerical semigroup of genus 1 is



# Counting semigroups by genus

Let  $n_g$  denote the number of numerical semigroups of genus  $g$ .

- ▶  $n_0 = 1$ , since the unique numerical semigroup of genus 0 is  $\mathbb{N}_0$
- ▶  $n_1 = 1$ , since the unique numerical semigroup of genus 1 is



- ▶  $n_2 = 2$ . Indeed the unique numerical semigroups of genus 2 are



# Counting semigroups by genus

Let  $n_g$  denote the number of numerical semigroups of genus  $g$ .

- ▶  $n_0 = 1$ , since the unique numerical semigroup of genus 0 is  $\mathbb{N}_0$
- ▶  $n_1 = 1$ , since the unique numerical semigroup of genus 1 is



- ▶  $n_2 = 2$ . Indeed the unique numerical semigroups of genus 2 are



- ▶  $n_3 = 4$
- ▶  $n_4 = 7$
- ▶  $n_5 = 12$
- ▶  $n_6 = 23$
- ▶  $n_7 = 39$
- ▶  $n_8 = 67$
- ▶  $\vdots$

# Counting semigroups by genus

## Conjecture

[Bras-Amorós, 2008]

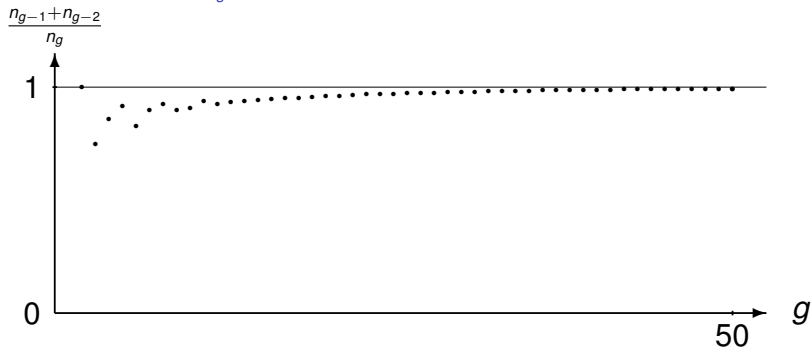
1.  $n_g \geq n_{g-1} + n_{g-2}$
2.
  - ▶  $\lim_{g \rightarrow \infty} \frac{n_{g-1} + n_{g-2}}{n_g} = 1$
  - ▶  $\lim_{g \rightarrow \infty} \frac{n_g}{n_{g-1}} = \phi$

# Counting semigroups by genus

$g$	$n_g$	$n_{g-1} + n_{g-2}$	$\frac{n_{g-1} + n_{g-2}}{n_g}$	$\frac{n_g}{n_{g-1}}$
0	1			
1	1			1
2	2	2	1	2
3	4	3	0.75	2
4	7	6	0.857143	1.75
5	12	11	0.916667	1.71429
6	23	19	0.826087	1.91667
7	39	35	0.897436	1.69565
8	67	62	0.925373	1.71795
9	118	106	0.898305	1.76119
10	204	185	0.906863	1.72881
11	343	322	0.938776	1.68137
12	592	547	0.923986	1.72595
13	1001	935	0.934066	1.69088
14	1693	1593	0.940933	1.69131
15	2857	2694	0.942947	1.68754
16	4806	4550	0.946733	1.68218
17	8045	7663	0.952517	1.67395
18	13467	12851	0.954259	1.67396
19	22464	21512	0.957621	1.66808
20	37396	35931	0.960825	1.66471
21	62194	59860	0.962472	1.66312
22	103246	99590	0.964589	1.66006
23	170963	165440	0.967695	1.65588
24	282828	274209	0.969526	1.65432
25	467224	453791	0.971249	1.65197
26	770832	750052	0.973042	1.64981
27	1270267	1238056	0.974642	1.64792
28	2091030	2041099	0.976121	1.64613
29	3437839	3361297	0.977735	1.64409
30	5646773	5528869	0.979120	1.64254
31	9266788	9084612	0.980341	1.64108
32	15195070	14913561	0.981474	1.63973
33	24896206	24461858	0.982554	1.63844
34	40761087	40091276	0.983567	1.63724
35	66687201	65657293	0.984556	1.63605
36	109032500	107448288	0.985470	1.63498
37	178158289	175719701	0.986312	1.63399
38	290939807	287190789	0.987114	1.63304
39	474851445	469098096	0.987884	1.63213
40	774614284	765791252	0.988610	1.63128

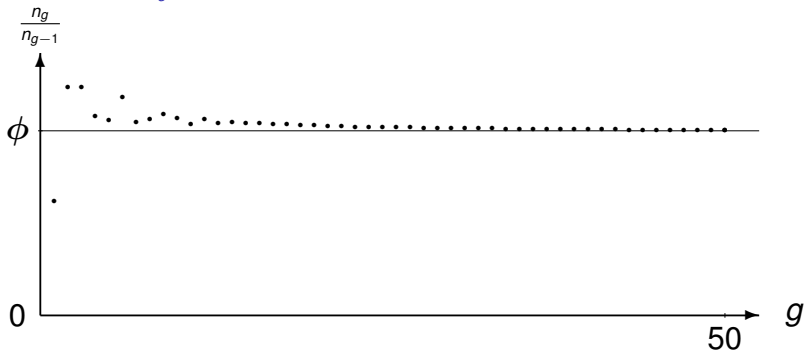
# Counting semigroups by genus

Behavior of  $\frac{n_{g-1}+n_{g-2}}{n_g}$



# Counting semigroups by genus

Behavior of  $\frac{n_g}{n_{g-1}}$



# Counting semigroups by genus

## What is known

- ▶ Upper and lower bounds for  $n_g$   
Dyck paths and Catalan bounds (w. de Mier), semigroup tree and Fibonacci bounds, Elizalde's improvements, and others



# Counting semigroups by genus

## What is known

- ▶ Upper and lower bounds for  $n_g$   
Dyck paths and Catalan bounds (w. de Mier), semigroup tree and Fibonacci bounds, Elizalde's improvements, and others
- ▶  $\lim_{g \rightarrow \infty} \frac{n_g}{n_{g-1}} = \phi$   
Alex Zhai (2013) with important contributions of Nathan Kaplan, Yufei Zhao, and others

# Counting semigroups by genus

## What is known

- ▶ Upper and lower bounds for  $n_g$   
Dyck paths and Catalan bounds (w. de Mier), semigroup tree and Fibonacci bounds, Elizalde's improvements, and others
- ▶  $\lim_{g \rightarrow \infty} \frac{n_g}{n_{g-1}} = \phi$   
Alex Zhai (2013) with important contributions of Nathan Kaplan, Yufei Zhao, and others

## Weaker unsolved conjecture

- ▶  $n_g$  is increasing

## Basic notions

Gaps, non-gaps, genus, Frobenius number, conductor, enumeration  
Generators

## Classical problems

Frobenius' coin exchange problem  
Hurwitz question  
Wilf's conjecture

## Counting

Conjecture  
**Dyck paths and Catalan bounds**  
Semigroup tree and Fibonacci bounds  
Ordinarization transform and ordinarization tree  
Quasi-ordinarization transform and quasi-ordinarization forest

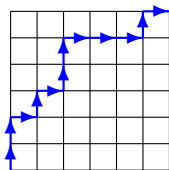
# Dyck paths

A **Dyck path** of order  $n$  is a staircase walk from  $(0, 0)$  to  $(n, n)$  that lies over the diagonal  $x = y$ .

# Dyck paths

A **Dyck path** of order  $n$  is a staircase walk from  $(0, 0)$  to  $(n, n)$  that lies over the diagonal  $x = y$ .

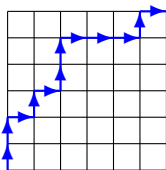
## Example



# Dyck paths

A [Dyck path](#) of order  $n$  is a staircase walk from  $(0, 0)$  to  $(n, n)$  that lies over the diagonal  $x = y$ .

## Example



The number of Dyck paths of order  $n$  is given by the [Catalan number](#)

$$C_n = \frac{1}{n+1} \binom{2n}{n}.$$

# Dyck paths

## Definition

The **square diagram** of a numerical semigroup is the path

$$e(i) = \begin{cases} \rightarrow & \text{if } i \in \Lambda, \\ \uparrow & \text{if } i \notin \Lambda, \end{cases} \quad \text{for } 1 \leq i \leq 2g.$$

# Dyck paths

## Definition

The **square diagram** of a numerical semigroup is the path

$$e(i) = \begin{cases} \rightarrow & \text{if } i \in \Lambda, \\ \uparrow & \text{if } i \notin \Lambda, \end{cases} \quad \text{for } 1 \leq i \leq 2g.$$

It always goes from  $(0, 0)$  to  $(g, g)$ .



# Dyck paths

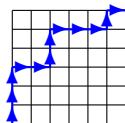
## Definition

The **square diagram** of a numerical semigroup is the path

$$e(i) = \begin{cases} \rightarrow & \text{if } i \in \Lambda, \\ \uparrow & \text{if } i \notin \Lambda, \end{cases} \quad \text{for } 1 \leq i \leq 2g.$$

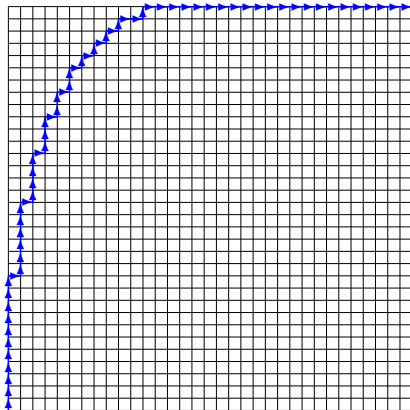
It always goes from  $(0, 0)$  to  $(g, g)$ .

## Example



# Dyck paths

## Example



# Dyck paths

## Lemma

*[Bras-Amorós, de Mier, 2007]*

*The square diagram of a numerical semigroup is a Dyck path.*

# Dyck paths

## Lemma

*[Bras-Amorós, de Mier, 2007]*

*The square diagram of a numerical semigroup is a Dyck path.*

## Corollary

$$n_g \leq C_g = \frac{1}{g+1} \binom{2g}{g}.$$

## Basic notions

Gaps, non-gaps, genus, Frobenius number, conductor, enumeration  
Generators

## Classical problems

Frobenius' coin exchange problem  
Hurwitz question  
Wilf's conjecture

## Counting

Conjecture  
Dyck paths and Catalan bounds  
**Semigroup tree and Fibonacci bounds**  
Ordinarization transform and ordinarization tree  
Quasi-ordinarization transform and quasi-ordinarization forest

# Tree $\mathcal{T}$ of numerical semigroups

From genus  $g$  to genus  $g - 1$

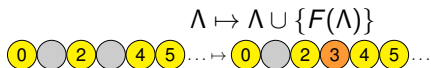
A semigroup of genus  $g$  together with its Frobenius number is another semigroup of genus  $g - 1$ .

$$\Lambda \mapsto \Lambda \cup \{F(\Lambda)\}$$

# Tree $\mathcal{T}$ of numerical semigroups

From genus  $g$  to genus  $g - 1$

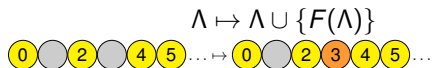
A semigroup of genus  $g$  together with its Frobenius number is another semigroup of genus  $g - 1$ .



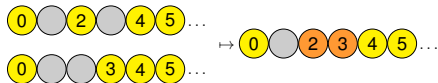
# Tree $\mathcal{T}$ of numerical semigroups

From genus  $g$  to genus  $g - 1$

A semigroup of genus  $g$  together with its Frobenius number is another semigroup of genus  $g - 1$ .



A set of semigroups may give the same semigroup when adjoining their Frobenius numbers.

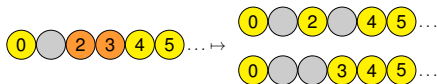




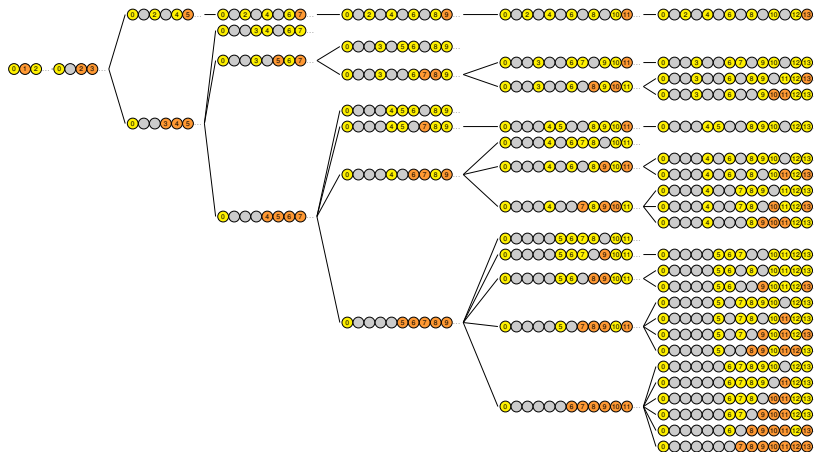
# Tree $\mathcal{T}$ of numerical semigroups

From genus  $g - 1$  to genus  $g$

All semigroups giving  $\Lambda$  when adjoining to them their Frobenius number can be obtained from  $\Lambda$  by taking out one by one all generators of  $\Lambda$  larger than its Frobenius number.

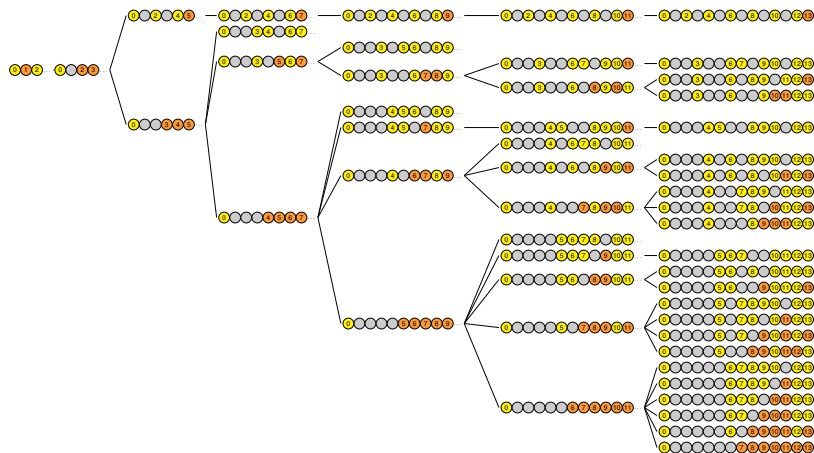


# Tree $\mathcal{T}$ of numerical semigroups



The **parent** of a semigroup  $\Lambda$  is  $\Lambda$  together with its Frobenius number.

# Tree $\mathcal{T}$ of numerical semigroups



The **parent** of a semigroup  $\Lambda$  is  $\Lambda$  together with its Frobenius number.

The **descendants** of a semigroup are obtained taking away one by one all generators larger than its Frobenius number.

# Tree $\mathcal{T}$ of numerical semigroups

A numerical semigroup is **ordinary** if all its gaps are consecutive.



# Tree $\mathcal{T}$ of numerical semigroups

## Descendants of ordinary semigroups

### Lemma

*The ordinary semigroup of genus  $g$  has  $g + 1$  descendants which in turn have  $0, 1, 2, \dots, g - 2, g, g + 2$  descendants.*

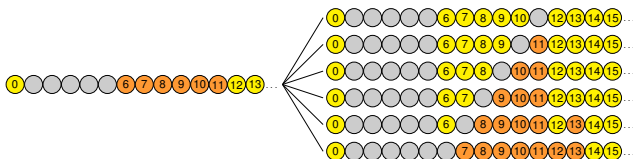
# Tree $\mathcal{T}$ of numerical semigroups

## Descendants of ordinary semigroups

### Lemma

*The ordinary semigroup of genus  $g$  has  $g + 1$  descendants which in turn have  $0, 1, 2, \dots, g - 2, g, g + 2$  descendants.*

### Example



# Tree $\mathcal{T}$ of numerical semigroups

## Descendants of non-ordinary semigroups

### Lemma

*If the generators of  $\Lambda$  (non-ordinary) that are larger than its Frobenius number are  $\{\lambda_{i_1} < \lambda_{i_2} < \dots < \lambda_{i_k}\}$ , then the generators of  $\Lambda \setminus \{\lambda_{i_j}\}$  that are larger than its Frobenius number are*

$$\{\lambda_{i_{j+1}} < \dots < \lambda_{i_k}\},$$

*or*

$$\{\lambda_{i_{j+1}} < \dots < \lambda_{i_k}\} \cup \{\lambda_1 + \lambda_{i_j}\}$$

# Tree $\mathcal{T}$ of numerical semigroups

## Descendants of non-ordinary semigroups

### Lemma

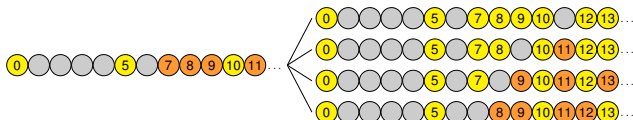
If the generators of  $\Lambda$  (non-ordinary) that are larger than its Frobenius number are  $\{\lambda_{i_1} < \lambda_{i_2} < \dots < \lambda_{i_k}\}$ , then the generators of  $\Lambda \setminus \{\lambda_{i_j}\}$  that are larger than its Frobenius number are

$$\{\lambda_{i_{j+1}} < \dots < \lambda_{i_k}\},$$

or

$$\{\lambda_{i_{j+1}} < \dots < \lambda_{i_k}\} \cup \{\lambda_1 + \lambda_{i_j}\}$$

### Example





# Tree $\mathcal{T}$ of numerical semigroups

## Corollary

*If a non-ordinary node in the semigroup tree has  $k$  descendants, then its descendants have*

- ▶ *at least  $0, \dots, k - 1$  descendants, respectively,*
- ▶ *at most  $1, \dots, k$  descendants, respectively.*

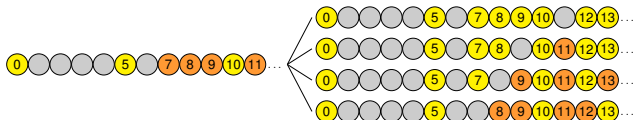
# Tree $\mathcal{T}$ of numerical semigroups

## Corollary

*If a non-ordinary node in the semigroup tree has  $k$  descendants, then its descendants have*

- ▶ *at least  $0, \dots, k - 1$  descendants, respectively,*
- ▶ *at most  $1, \dots, k$  descendants, respectively.*

## Example



# Subtree

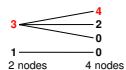
Number of descendants of semigroups of genus 2

0 1 2 3 4 5 6 ... → 3

0 1 2 3 4 5 6 ... → 1

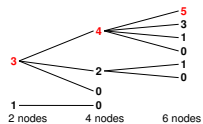
# Subtree

Lower bound for the number of descendants of semigroups of genus 3



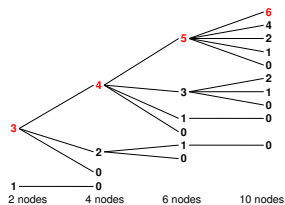
# Subtree

Lower bound for the number of descendants of semigroups of genus 4



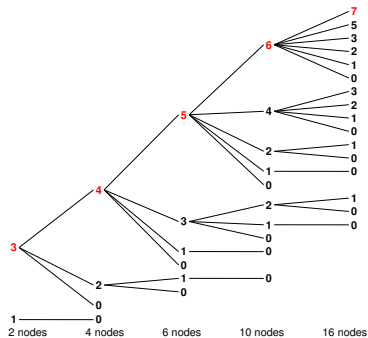
# Subtree

Lower bound for the number of descendants of semigroups of genus 5



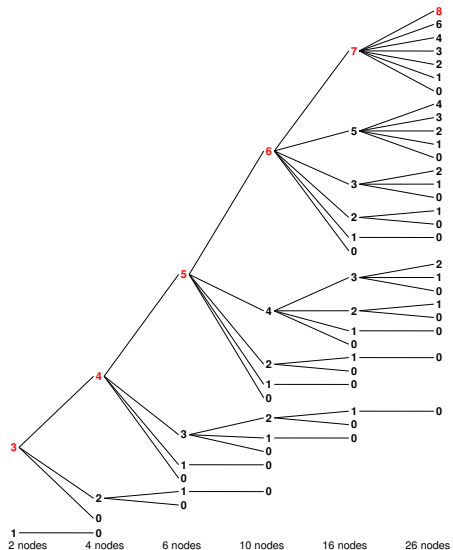
# Subtree

Lower bound for the number of descendants of semigroups of genus 6



# Subtree

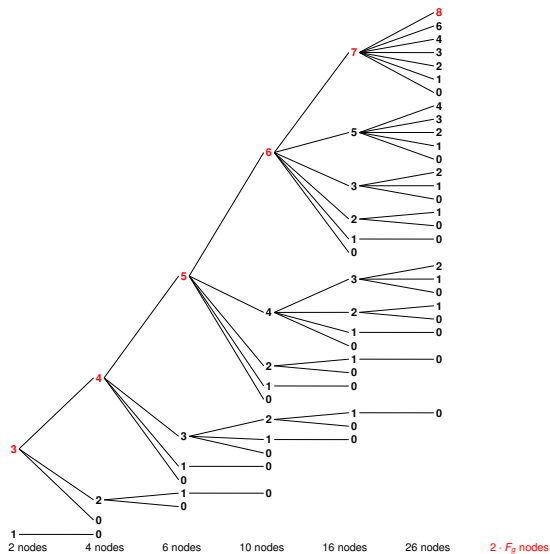
Lower bound for the number of descendants of semigroups of genus 7





# Subtree

Lower bound for the number of descendants of semigroups of genus  $g$



# Subtree

Lemma

For  $g \geq 3$ ,

$$2F_g \leq n_g$$

# Supertree

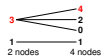
Number of descendants of semigroups of genus 2

0 1 2 3 4 5 6 ... → 3

0 1 2 3 4 5 6 ... → 1

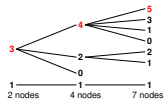
# Supertree

Upper bound for the number of descendants of semigroups of genus 3



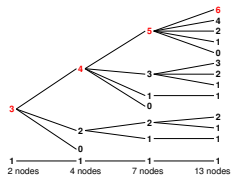
# Supertree

Upper bound for the number of descendants of semigroups of genus 4



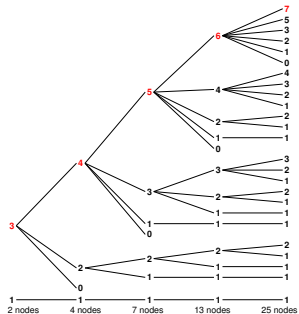
# Supertree

Upper bound for the number of descendants of semigroups of genus 5



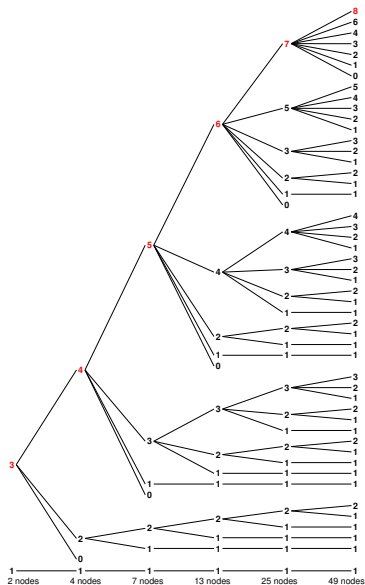
# Supertree

Upper bound for the number of descendants of semigroups of genus 6



# Supertree

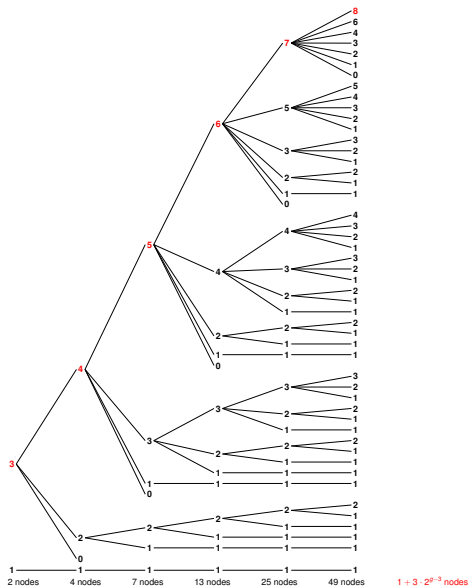
Upper bound for the number of descendants of semigroups of genus 7





# Supertree

Upper bound for the number of descendants of semigroups of genus  $g$



# Supertree

Lemma

For  $g \geq 3$ ,

$$2F_g \leq n_g \leq 1 + 3 \cdot 2^{g-3}.$$

# Bounds on $n_g$

$g$	$2F_g$	$n_g$	$1 + 3 \cdot 2^{g-3}$	$C_g$
0		1		1
1		1		1
2	2	2		2
3	4	4	4	5
4	6	7	7	14
5	10	12	13	42
6	16	23	25	132
7	26	39	49	429
8	42	67	97	1430
9	68	118	193	4862
10	110	204	385	16796
11	178	343	769	58786
12	288	592	1537	208012
13	466	1001	3073	742900
14	754	1693	6145	2674440
15	1220	2857	12289	9694845
16	1974	4806	24577	35357670
17	3194	8045	49153	129644790
18	5168	13467	98305	477638700
19	8362	22464	196609	1767263190
20	13530	37396	393217	6564120420
21	21892	62194	786433	24466267020
22	35422	103246	1572865	91482563640
23	57314	170963	3145729	343059613650
24	92736	282828	6291457	1289904147324
25	150050	467224	12582913	4861946401452
26	242786	770832	25165825	18367353072152
27	392836	1270267	50331649	69533550916004
28	635622	2091030	100663297	263747951750360
29	1028458	3437839	201326593	1002242216651368
30	1664080	5646773	402653185	3814986502092304

## Basic notions

Gaps, non-gaps, genus, Frobenius number, conductor, enumeration  
Generators

## Classical problems

Frobenius' coin exchange problem  
Hurwitz question  
Wilf's conjecture

## Counting

Conjecture  
Dyck paths and Catalan bounds  
Semigroup tree and Fibonacci bounds  
**Ordinarization transform and ordinarization tree**  
Quasi-ordinarization transform and quasi-ordinarization forest

# Ordinary numerical semigroups

The **multiplicity** of a numerical semigroup is its smallest non-zero nongap.



# Ordinary numerical semigroups

The **multiplicity** of a numerical semigroup is its smallest non-zero nongap.



A non-trivial numerical semigroup is **ordinary** if  $m=F + 1$ .



# Ordinarization of semigroups

Ordinarization transform of a semigroup:

- Remove the multiplicity
- Add the Frobenius number

# Ordinarization of semigroups

Ordinarization transform of a semigroup:

- Remove the multiplicity
- Add the Frobenius number

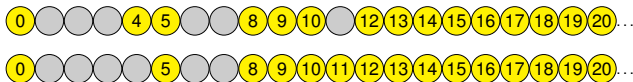




# Ordinarization of semigroups

Ordinarization transform of a semigroup:

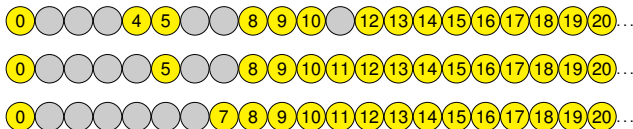
- Remove the multiplicity
- Add the Frobenius number



# Ordinarization of semigroups

Ordinarization transform of a semigroup:

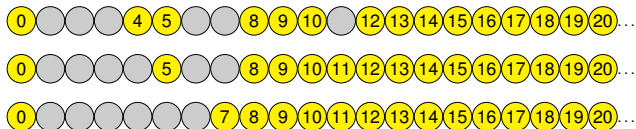
- Remove the multiplicity
- Add the Frobenius number



# Ordinarization of semigroups

Ordinarization transform of a semigroup:

- Remove the multiplicity
- Add the Frobenius number

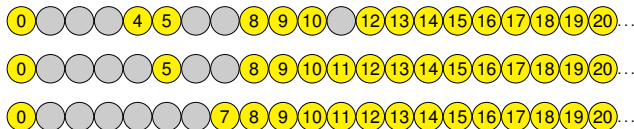


- ▶ The result is another numerical semigroup.

# Ordinarization of semigroups

Ordinarization transform of a semigroup:

- Remove the multiplicity
- Add the Frobenius number

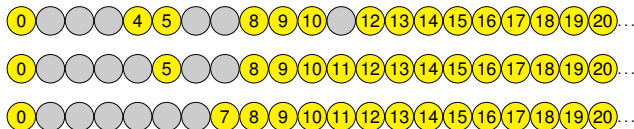


- ▶ The result is another numerical semigroup.
- ▶ The genus is kept constant in all the transforms.

# Ordinarization of semigroups

Ordinarization transform of a semigroup:

- Remove the multiplicity
- Add the Frobenius number



- ▶ The result is another numerical semigroup.
- ▶ The genus is kept constant in all the transforms.
- ▶ Repeating several times (:= **ordinarization number**) we obtain an ordinary semigroup.

# Tree $\mathcal{T}_g$ of numerical semigroups of genus $g$

## The tree $\mathcal{T}_g$

Define a graph with

- ▶ **nodes** corresponding to semigroups of genus  $g$
- ▶ **edges** connecting each semigroup to its ordinarization transform

$$o(\Lambda) - \Lambda$$

# Tree $\mathcal{T}_g$ of numerical semigroups of genus $g$

## The tree $\mathcal{T}_g$

Define a graph with

- ▶ **nodes** corresponding to semigroups of genus  $g$
- ▶ **edges** connecting each semigroup to its ordinarization transform

$$o(\Lambda) - \Lambda$$

$\mathcal{T}_g$  is a tree rooted at the unique ordinary semigroup of genus  $g$ .

# Tree $\mathcal{T}_g$ of numerical semigroups of genus $g$

## The tree $\mathcal{T}_g$

Define a graph with

- ▶ **nodes** corresponding to semigroups of genus  $g$
- ▶ **edges** connecting each semigroup to its ordinarization transform

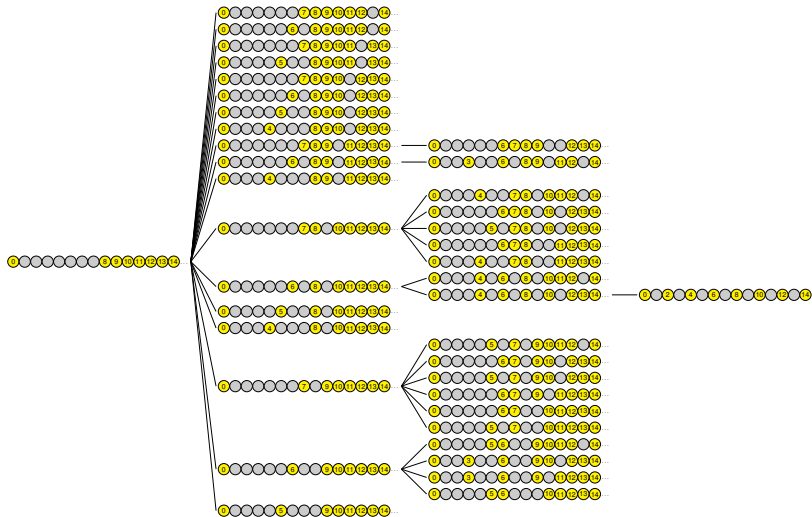
$$o(\Lambda) - \Lambda$$

$\mathcal{T}_g$  is a tree rooted at the unique ordinary semigroup of genus  $g$ .

Contrary to  $\mathcal{T}$ ,  $\mathcal{T}_g$  has only a **finite number of nodes** (indeed,  $n_g$ ).



# Tree $\mathcal{T}_g$ of numerical semigroups of genus $g$



## $\mathcal{T}_g$ and $\mathcal{T}$

### Lemma

*If  $\Lambda_1$  is a descendant of  $\Lambda_2$  in  $\mathcal{T}$  then  $o(\Lambda_1)$  is a descendant of  $o(\Lambda_2)$  in  $\mathcal{T}$ .*

### Lemma

*If  $\Lambda_1$  and  $\Lambda_2$  are siblings in  $\mathcal{T}$  then they are siblings in  $\mathcal{T}_g$ .*

## Tree $\mathcal{T}_g$ of numerical semigroups of genus $g$

The **depth** of a semigroup of genus  $g$  in  $\mathcal{T}_g$  is its ordinarization number.

# Tree $\mathcal{T}_g$ of numerical semigroups of genus $g$

The **depth** of a semigroup of genus  $g$  in  $\mathcal{T}_g$  is its ordinarization number.

## Lemma

1. *The ordinarization number of a numerical semigroup of genus  $g$  is the number of its non-zero non-gaps which are  $\leq g$ .*
2. *The maximum ordinarization number of a semigroup of genus  $g$  is  $\lfloor \frac{g}{2} \rfloor$ .*
3. *The unique numerical semigroup of genus  $g$  and ordinarization number  $\lfloor \frac{g}{2} \rfloor$  is  $\{0, 2, 4, \dots, 2g, 2g + 1, 2g + 2, \dots\}$ .*

# Conjecture

$n_{g,r}$ : number of semigroups of genus  $g$  and ordinarization number  $r$ .

## Conjecture

- ▶  $n_{g,r} \leq n_{g+1,r}$
- ▶ Equivalently, the number of semigroups in  $\mathcal{T}_g$  at a given depth is at most the number of semigroups in  $\mathcal{T}_{g+1}$  at the same depth.

# Conjecture

$n_{g,r}$ : number of semigroups of genus  $g$  and ordinarization number  $r$ .

## Conjecture

- ▶  $n_{g,r} \leq n_{g+1,r}$
- ▶ Equivalently, the number of semigroups in  $\mathcal{T}_g$  at a given depth is at most the number of semigroups in  $\mathcal{T}_{g+1}$  at the same depth.

This conjecture would prove  $n_g \leq n_{g+1}$ .

# Conjecture

$n_{g,r}$ : number of semigroups of genus  $g$  and ordinarization number  $r$ .

## Conjecture

- ▶  $n_{g,r} \leq n_{g+1,r}$
- ▶ Equivalently, the number of semigroups in  $\mathcal{T}_g$  at a given depth is at most the number of semigroups in  $\mathcal{T}_{g+1}$  at the same depth.

This conjecture would prove  $n_g \leq n_{g+1}$ .

This result is proved for the lowest and largest depths.





## Lemma (Bernardini and Torres (2017))

The sequence  $f_\gamma$  given by

$$\begin{aligned}f_0 &= 1, \\f_1 &= 2, \\f_2 &= 7, \\f_3 &= 23, \\f_4 &= 68, \\f_5 &= 200, \\f_6 &= 615, \\f_7 &= 1764, \\f_8 &= 5060, \\f_9 &= 14626, \\&\dots\end{aligned}$$

*also counts the number of semigroups of genus  $3\gamma$  and  $\gamma$  even gaps.*

## Conjecture (Bernardini, Torres)

$$f_\gamma \sim \varphi^{2\gamma}$$

## Basic notions

Gaps, non-gaps, genus, Frobenius number, conductor, enumeration  
Generators

## Classical problems

Frobenius' coin exchange problem  
Hurwitz question  
Wilf's conjecture

## Counting

Conjecture  
Dyck paths and Catalan bounds  
Semigroup tree and Fibonacci bounds  
Ordinarization transform and ordinarization tree  
Quasi-ordinarization transform and quasi-ordinarization forest

# Quasi-ordinary numerical semigroups

A non-ordinary semigroup  $\Lambda$  is a **quasi-ordinary** semigroup if  $\Lambda \cup F$  is ordinary.



# Quasi-ordinarization of semigroups

Quasi-ordinarization transform of a non-ordinary semigroup:

- Remove the multiplicity
- Add the second largest gap

# Quasi-ordinarization of semigroups

Quasi-ordinarization transform of a non-ordinary semigroup:

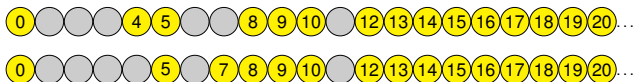
- Remove the multiplicity
- Add the second largest gap



# Quasi-ordinarization of semigroups

Quasi-ordinarization transform of a non-ordinary semigroup:

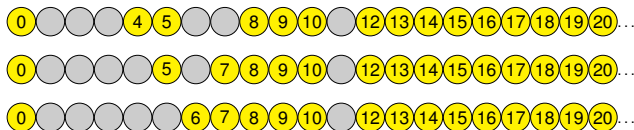
- Remove the multiplicity
- Add the second largest gap



# Quasi-ordinarization of semigroups

Quasi-ordinarization transform of a non-ordinary semigroup:

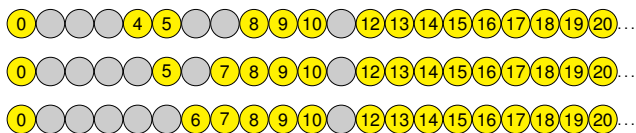
- Remove the multiplicity
- Add the second largest gap



# Quasi-ordinarization of semigroups

Quasi-ordinarization transform of a non-ordinary semigroup:

- Remove the multiplicity
- Add the second largest gap



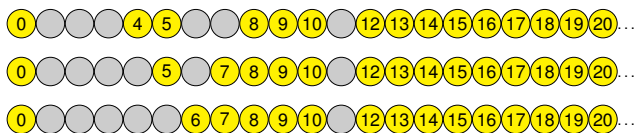
- ▶ The result is another numerical semigroup.



# Quasi-ordinarization of semigroups

Quasi-ordinarization transform of a non-ordinary semigroup:

- Remove the multiplicity
- Add the second largest gap

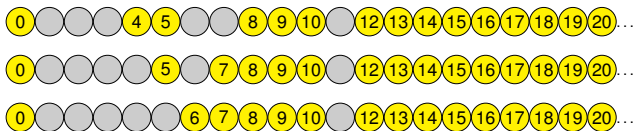


- ▶ The result is another numerical semigroup.
- ▶ The genus is kept constant in all the transforms.

# Quasi-ordinarization of semigroups

Quasi-ordinarization transform of a non-ordinary semigroup:

- Remove the multiplicity
- Add the second largest gap

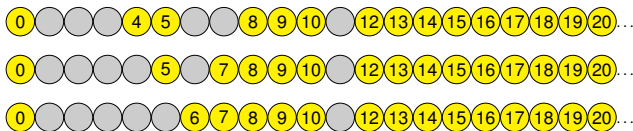


- ▶ The result is another numerical semigroup.
- ▶ The genus is kept constant in all the transforms.
- ▶ Repeating several times (:= **quasi-ordinarization number**) we obtain a quasi-ordinary semigroup.

# Quasi-ordinarization of semigroups

Quasi-ordinarization transform of a non-ordinary semigroup:

- Remove the multiplicity
- Add the second largest gap



- ▶ The result is another numerical semigroup.
- ▶ The genus is kept constant in all the transforms.
- ▶ Repeating several times (:= quasi-ordinarization number) we obtain a quasi-ordinary semigroup.

Quasi-ordinarization transform of an ordinary semigroup is defined to be itself.

# Forest $\mathcal{F}_g$ of numerical semigroups of genus $g$

## The forest $\mathcal{F}_g$

Define a graph with

- ▶ **nodes** corresponding to semigroups of genus  $g$
- ▶ **edges** connecting each semigroup to its quasi-ordinarization transform

$$q(\Lambda) - \Lambda$$

# Forest $\mathcal{F}_g$ of numerical semigroups of genus $g$

## The forest $\mathcal{F}_g$

Define a graph with

- ▶ **nodes** corresponding to semigroups of genus  $g$
- ▶ **edges** connecting each semigroup to its quasi-ordinarization transform

$$q(\Lambda) - \Lambda$$

$\mathcal{F}_g$  is a forest with roots at the quasi-ordinary semigroups of genus  $g$ , and the unique ordinary semigroup of genus  $g$ .

# Forest $\mathcal{F}_g$ of numerical semigroups of genus $g$

## The forest $\mathcal{F}_g$

Define a graph with

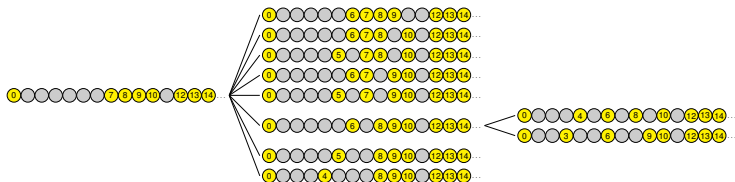
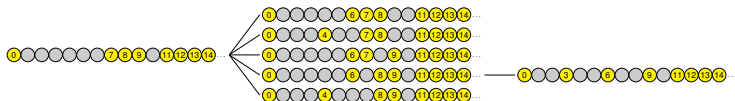
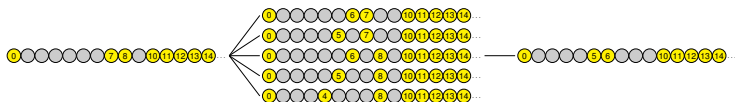
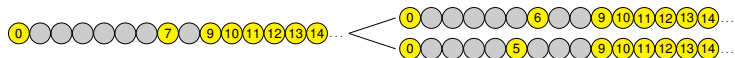
- ▶ **nodes** corresponding to semigroups of genus  $g$
- ▶ **edges** connecting each semigroup to its quasi-ordinarization transform

$$q(\Lambda) - \Lambda$$

$\mathcal{F}_g$  is a forest with roots at the quasi-ordinary semigroups of genus  $g$ , and the unique ordinary semigroup of genus  $g$ .

Contrary to  $\mathcal{T}_g$ ,  $\mathcal{F}_g$  is a forest.

# Forest $\mathcal{F}_g$ of numerical semigroups of genus $g$



## $\mathcal{F}_g$ and $\mathcal{T}$

### Lemma

*If  $\Lambda_1$  is descendant of  $\Lambda_2$  in  $\mathcal{T}$  then  $q(\Lambda_1)$  is a niece/nephew of  $q(\Lambda_2)$  in  $\mathcal{T}$ .*

### Lemma

*If  $\Lambda_1$  and  $\Lambda_2$  are siblings in  $\mathcal{T}$  then they are siblings in  $\mathcal{T}_g$  but not in  $\mathcal{F}_g$ .*

### Lemma

*If  $\Lambda_1$  and  $\Lambda_2$  are siblings in  $\mathcal{T}_g$  then  $q(\Lambda_1)$  and  $q(\Lambda_2)$  are siblings in  $\mathcal{T}$ .*

### Lemma

*If  $\Lambda_1$  and  $\Lambda_2$  are siblings in  $\mathcal{T}$  then  $q(\Lambda_1)$  and  $q(\Lambda_2)$  are siblings in  $\mathcal{T}$ .*



# Further contributions on counting

Maria Bras-Amorós and Anna de Mier. Representation of numerical semigroups by Dyck paths. *Semigroup Forum*, 75(3):677-682, 2007.

Maria Bras-Amorós. Fibonacci-like behavior of the number of numerical semigroups of a given genus. *Semigroup Forum*, 76(2):379-384, 2008.

Maria Bras-Amorós. Bounds on the number of numerical semigroups of a given genus. *J. Pure Appl. Algebra*, 213(6):997-1001, 2009.

Maria Bras-Amorós and Stanislav Bulygin. Towards a better understanding of the semigroup tree. *Semigroup Forum*, 79(3):561-574, 2009.

Sergi Elizalde. Improved bounds on the number of numerical semigroups of a given genus. *Journal of Pure and Applied Algebra*, 214:1404-1409, 2010.

Yufei Zhao. Constructing numerical semigroups of a given genus. *Semigroup Forum*, 80(2):242-254, 2010.

Víctor Blanco, Pedro A. García-Sánchez, and Justo Puerto. Counting numerical semigroups with short generating functions, *Internat. J. Algebra Comput.* 21:1217–1235, 2011.

Nathan Kaplan. Counting numerical semigroups by genus and some cases of a question of Wilf, *J. Pure Appl. Algebra* 216: 1016–1032, 2012.

Maria Bras-Amorós. The ordinarization transform of a numerical semigroup and semigroups with a large number of intervals, *J. Pure Appl. Algebra* 216:2507–2518, 2012.

Evan O'Dorney. Degree asymptotics of the numerical semigroup tree, *Semigroup Forum* 87:601–616, 2013.

Matheus Bernardini and Fernando Torres. Counting numerical semigroups by genus and even gaps, *Discrete Mathematics* 340 (12):2853-2863, 2017.

Nathan Kaplan. Counting numerical semigroups, *Amer. Math. Monthly* 124: 862-875, 2017.